
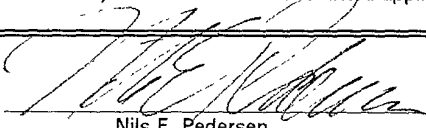


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<div style="display: flex; align-items: center;"> <div style="writing-mode: vertical-rl; transform: rotate(180deg); border: 1px solid black; padding: 2px; margin-right: 5px;">02/16/01</div> <div style="border: 1px solid black; padding: 5px;"> <p style="text-align: center;">UTILITY PATENT APPLICATION TRANSMITTAL</p> <p><small>only for new nonprovisional applications under 37 CFR 1.53(b)</small></p> </div> </div>	<p>Attorney Docket No.: 2001-0163A</p> <p>First Named Inventor : Norio KIMURA et al.</p> <p>Title: POLISHING APPARATUS</p> <p>Express Mail Label No.:</p>
<p style="text-align: center;">APPLICATION ELEMENTS</p> <p><small>See MPEP chapter 600 concerning utility patent application contents.</small></p>	<p>ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231</p>
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form <small>(Submit an original, and a duplicate for fee processing)</small></p> <p>2. <input type="checkbox"/> Small Entity Status is hereby asserted.</p> <p>3. <input checked="" type="checkbox"/> Specification <small>[Total Pages: 21]</small> <small>(preferred arrangement set forth below)</small></p> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Sequence Listing, a table, or a computer program listing appendix. - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings <small>(if filed)</small> - Detailed Description - Claim(s) - Abstract of the Disclosure <p>4. <input checked="" type="checkbox"/> Drawing(s) <small>(35 USC 113)</small> <small>[Total Sheets: 5]</small></p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration <small>[Total Pages : 3]</small></p> <p style="margin-left: 20px;">a.1. <input type="checkbox"/> Newly executed (original or copy)</p> <p style="margin-left: 20px;">a.2. <input checked="" type="checkbox"/> Unexecuted</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 18 completed)</small></p> <p style="margin-left: 20px;">i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small></p> <p>6. <input type="checkbox"/> Application Data Sheet (see 37 CFR 1.76)</p>	<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or computer program <small>(Appendix)</small></p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small></p> <p style="margin-left: 20px;">a. <input type="checkbox"/> Computer Readable Form</p> <p style="margin-left: 20px;">b. Specification Sequence Listing on:</p> <p style="margin-left: 40px;">i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p style="margin-left: 40px;">ii. <input type="checkbox"/> Paper</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> Statement verifying identity of above copies</p>
<p style="text-align: center;">ACCOMPANYING APPLICATION PARTS</p> <p>9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <small>(when there is an assignee)</small></p> <p style="margin-left: 20px;"><input type="checkbox"/> Power of Attorney</p> <p>11. <input type="checkbox"/> English Translation Document <small>(if applicable)</small></p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449</p> <p style="margin-left: 20px;"><input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small></p> <p>16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). <small>Applicant must attach form PTO/SB/35 or its equivalent.</small></p> <p>17. <input type="checkbox"/> Other</p>	
<p>18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet :</p> <p style="margin-left: 20px;"><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No. _____</p> <p>Prior Application Information: Examiner _____ Group Art Unit _____</p> <p><small>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.</small></p>	
<p>19. CORRESPONDENCE ADDRESS</p> <div style="text-align: center;">  000513 <small>PATENT TRADEMARK OFFICE</small> </div>	<p>By: </p> <p style="text-align: center;">Nils E. Pedersen Registration No. 33,145</p> <p style="text-align: center;">WENDEROTH, LIND & PONACK, L.L.P. 2033 "K" Street, N.W., Suite 800 Washington, D.C. 20006 Phone: (202) 721-8200 Fax: (202) 721-8250</p> <p style="text-align: right;">February 16, 2001</p>

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ACCOUNT NO. 23-0975

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Norio KIMURA et al. :
Serial No. NEW : Attn: APPLICATION BRANCH
Filed February 16, 2001 : Attorney Docket No. 2001-0163A
POLISHING APPARATUS

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$710.00 to cover Patent Office fees relating to filing the following attached papers:

New application \$710.00
Assignment for Recordal \$
Additional Claims Fee:
Excess of Twenty \$
Independent \$
Multiple Dependent Fee \$

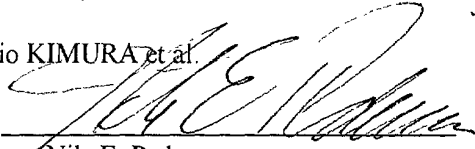
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The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

Norio KIMURA et al.

By


Nils E. Pedersen
Registration No. 33,145
Attorney for Applicants

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TO CHARGE ANY DEFICIENCY IN THE
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WENDEROTH, LIND & PONACK, L.L.P.
2033 K St., N.W., Suite 800
Washington, D.C. 20006
Telephone (202) 721-8200
February 16, 2001

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